Regional Operations Forum
Transportation Systems Management & Operations

TSMO Caltrans Statewide Perspective

November 14, 2017
Caltrans D-1 – Eureka, CA

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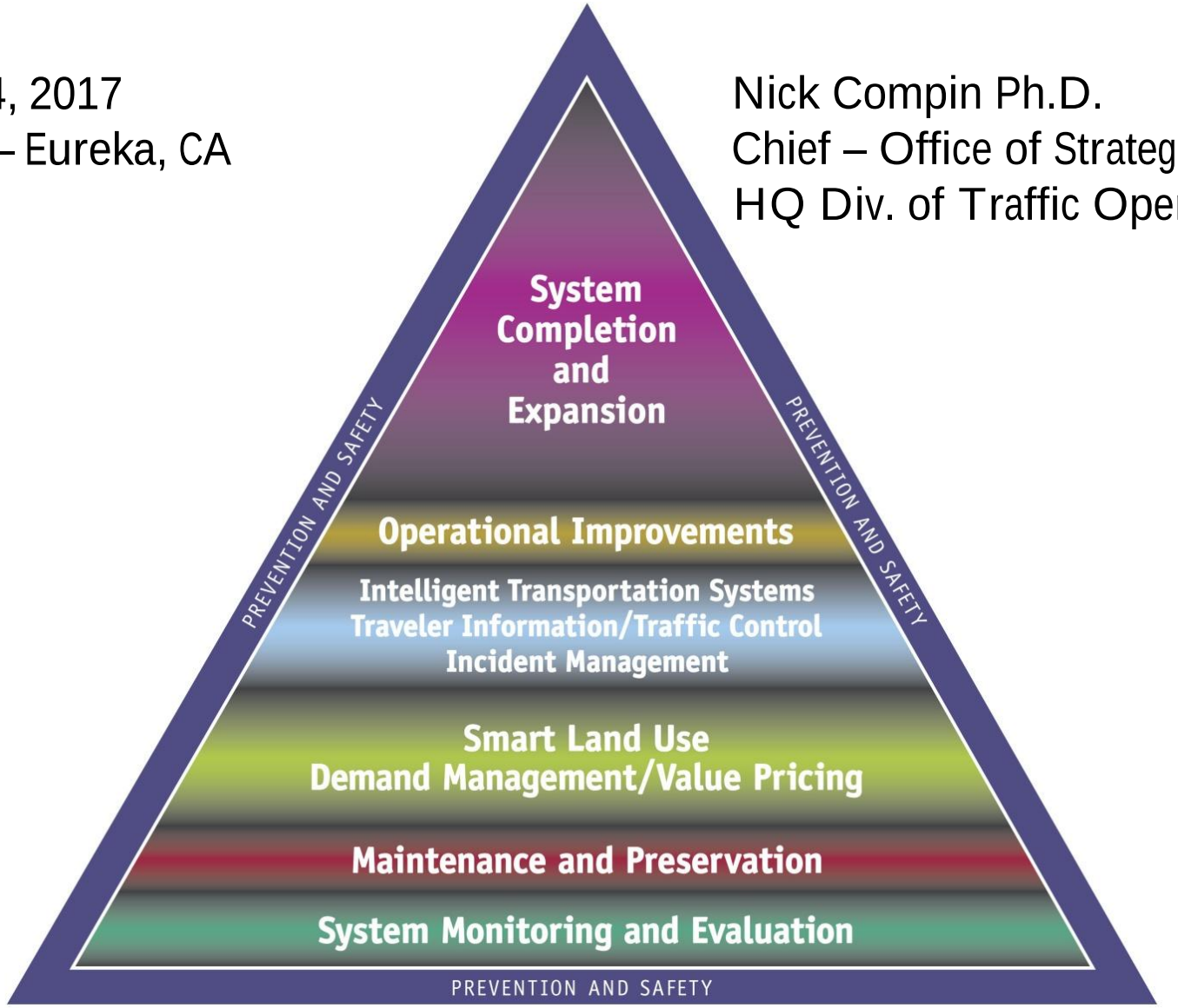

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Transportation Investments have more impact if built upon this foundation

The Promise of TSMO

"We promise travelers and shippers that we will manage traffic and incidents as well as provide timely and accurate travel information so that they can make informed decisions to minimize their unexpected delay and improve the safety of their travel."

SHRP2 L17 Technical Report

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Transportation Systems Management & Operations

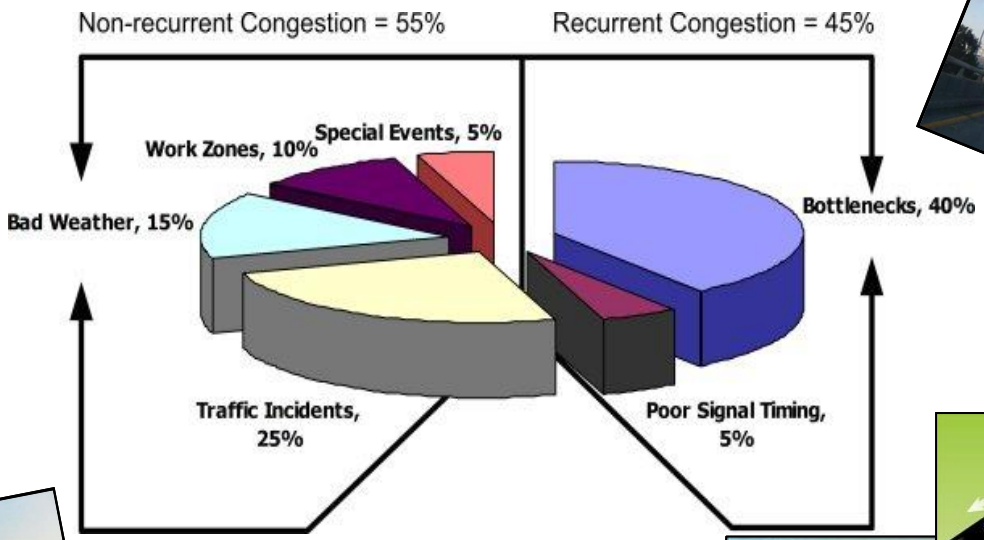
Traditional Approach to Managing Transportation

- **Predict future (long range) traffic volumes**
- **Fund major capital projects to provide additional capacity**

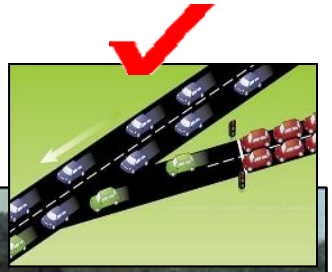
This only addresses 45% of the Delay problem

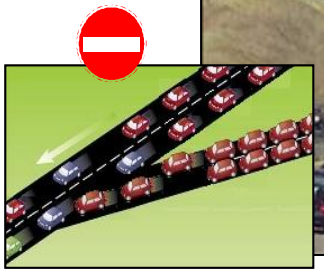
- **Also becoming more and more difficult to provide new capacity**

New Focus on Managing Transportation



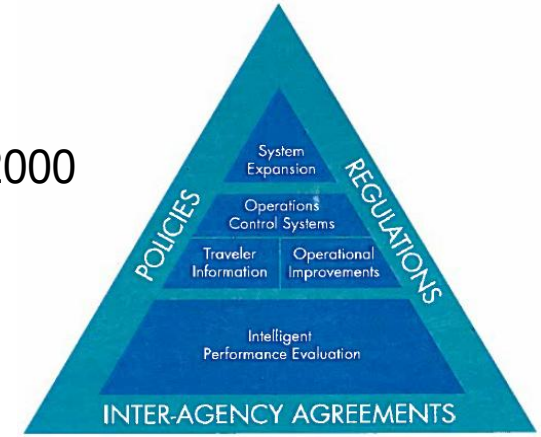
Delay



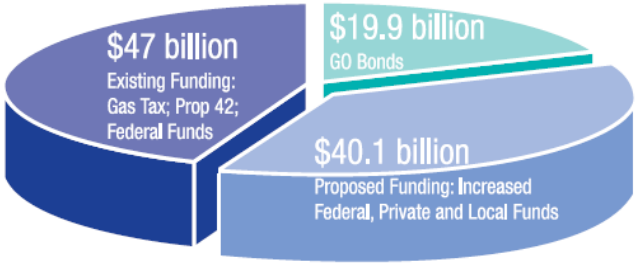


TSMO
Strategic Growth Plan / CMIA Legacy

2000

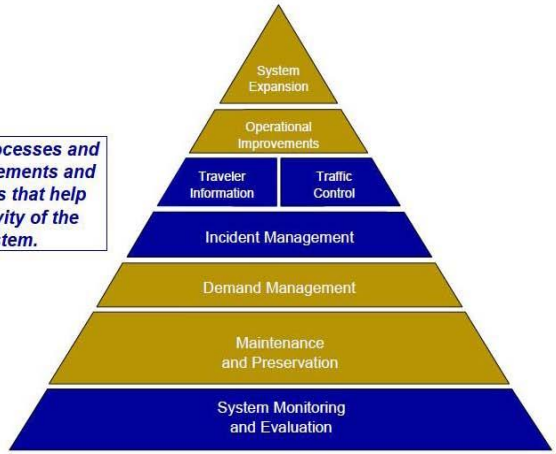


Governor Arnold Schwarzenegger's Strategic Growth Plan: Transportation Investments for Mobility and Quality of Life

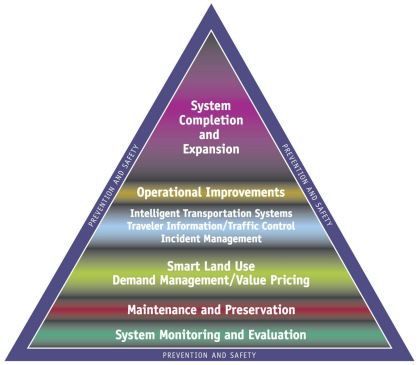


TMS are the business processes and associated tools, field elements and communication systems that help maximize the productivity of the transportation system.

2004



2007



Transportation Investments have more impact if built upon this foundation

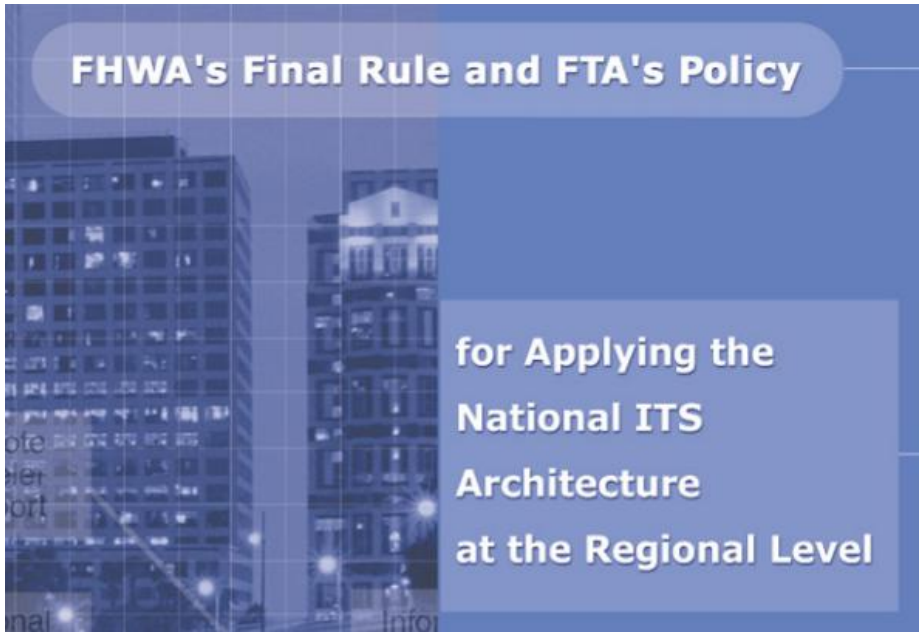
TSMO and SB1

$5.2 Billion/Year

The Road Repair and Accountability Act of 2017, Senate Bill (SB) 1

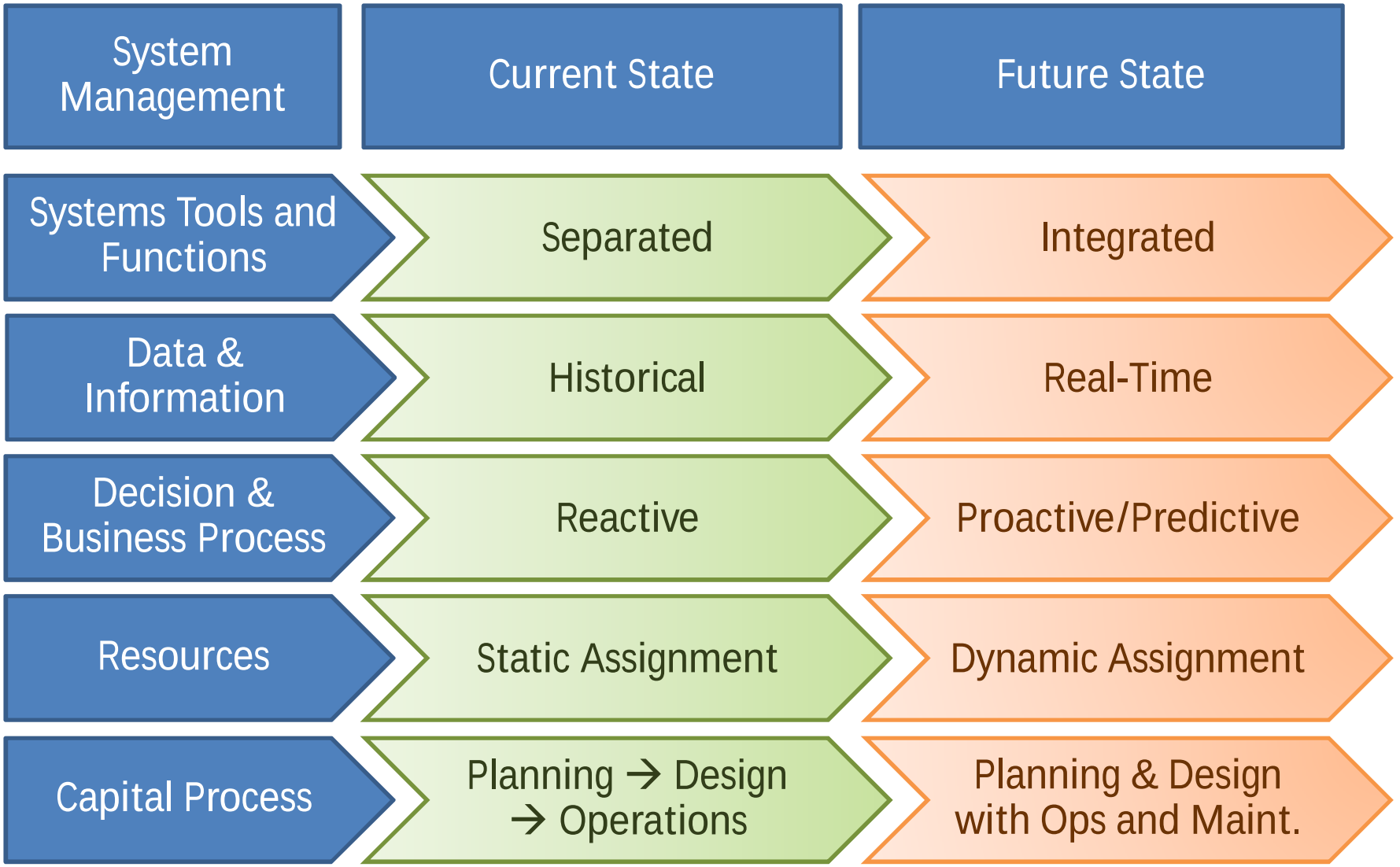
Solutions for Congested Corridors Program <u>$250 million/Year</u>
Provides funding on a competitive basis to Caltrans and regional agencies for priority projects that will improve traffic flow and mobility along the state's most congested corridors while also seeking to improve air quality and health.

TSMO Coordination:
ITS Mainstreaming efforts



ITS Mainstreaming initiatives
ITS Architecture requirement
ITS SystemBuilder
Statewide ITS Architecture Update

Transportation Systems Management and Operations
Current and Future State



From 2013 Caltrans Transportation Management System Business Plan

Caltrans TSMO Progress

- *2015-2020 Caltrans Strategic Management Plan – e.g., Stewardship and System Performance Goals*
- *Draft TSMO Director's Policy-08 & Draft ITS DD-70*
- *25 Top Priority Corridors*
- *National Engagement*
  - 2012 SHRP2 Capability Maturity Model Self Assessment
  - SHRP 2 Lead Adopter Federal Technical Assistance $200,000 – Approved Implementation Plan
- *Integrated Corridor Management - Connected Corridors D-7 Pilot*
- *Transportation Management System Pilot Corridors Study*
- *Regional Operations Forums/Capability Maturity Model Self Assessments*
- *Caltrans TSMO Steering Committee*
  - TSMO Program Plan
- *Planning for Operations (P4OPS) Steering Committee*

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2015-2020 Caltrans Strategic Management Plan

System Performance Goal 4- *"Utilize leadership, collaboration, and strategic partnerships to develop an integrated transportation system that provides reliable and accessible mobility for travelers."*

- ❑ Top 25 Corridors (real-time system info)
- ❑ ICM Implementation Plans – by 2018, 5 corridors (I-210, I-80, SR-57, I-110, SR-91)
- ❑ ICM Implementation – by 2020, 3 corridors (I-210, I-80, ?)

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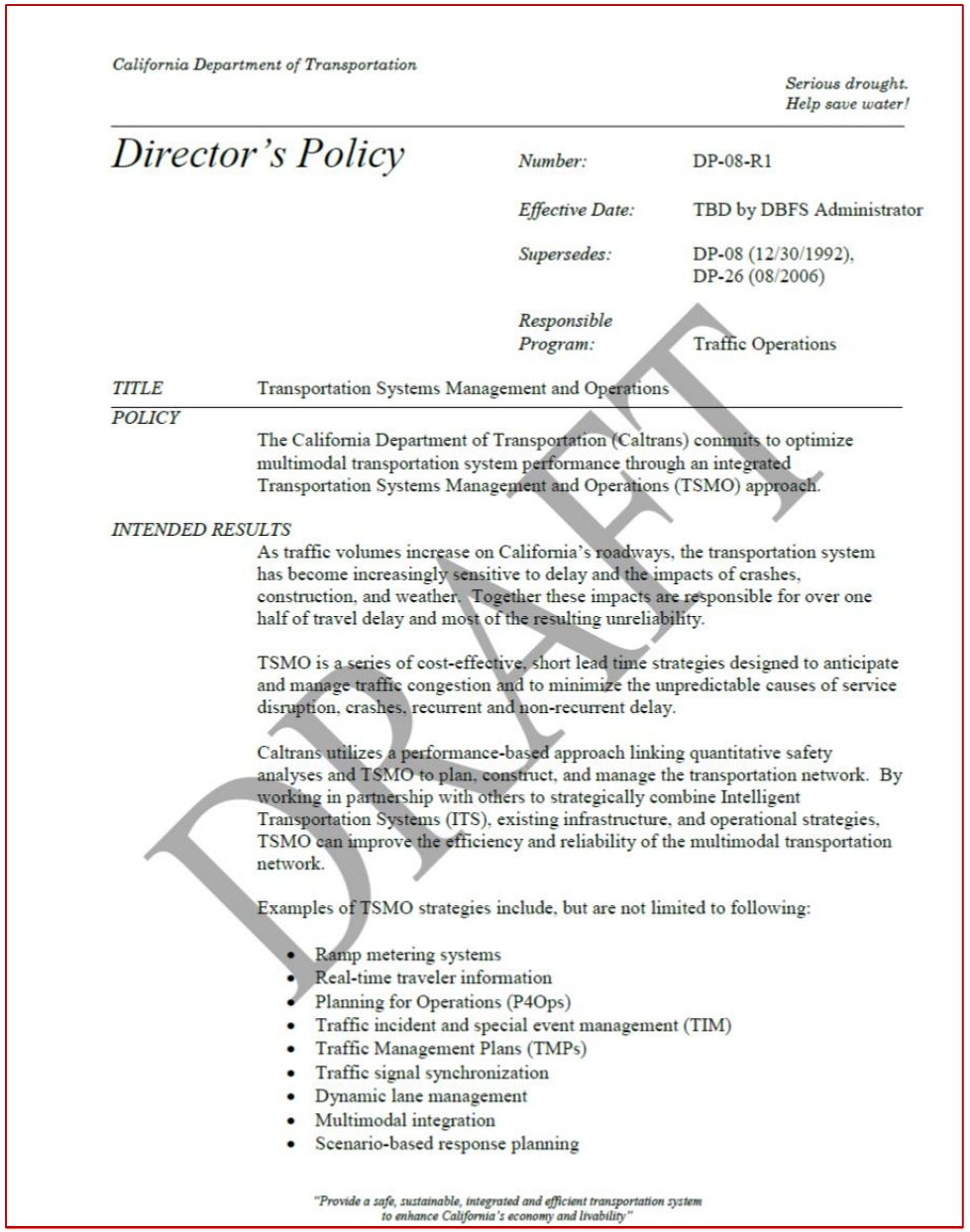
TSMO Cornerstones

- TSMO Policy: Draft DP-08 R1



California Department of Transportation

Serious drought. Help save water!

Director's Policy

Number:	DP-08-R1
Effective Date:	TBD by DBFS Administrator
Supersedes:	DP-08 (12/30/1992), DP-26 (08/2006)
Responsible Program:	Traffic Operations

TITLE Transportation Systems Management and Operations

POLICY

The California Department of Transportation (Caltrans) commits to optimize multimodal transportation system performance through an integrated Transportation Systems Management and Operations (TSMO) approach.

INTENDED RESULTS

As traffic volumes increase on California's roadways, the transportation system has become increasingly sensitive to delay and the impacts of crashes, construction, and weather. Together these impacts are responsible for over one half of travel delay and most of the resulting unreliability.

TSMO is a series of cost-effective, short lead time strategies designed to anticipate and manage traffic congestion and to minimize the unpredictable causes of service disruption, crashes, recurrent and non-recurrent delay.

Caltrans utilizes a performance-based approach linking quantitative safety analyses and TSMO to plan, construct, and manage the transportation network. By working in partnership with others to strategically combine Intelligent Transportation Systems (ITS), existing infrastructure, and operational strategies, TSMO can improve the efficiency and reliability of the multimodal transportation network.

Examples of TSMO strategies include, but are not limited to following:

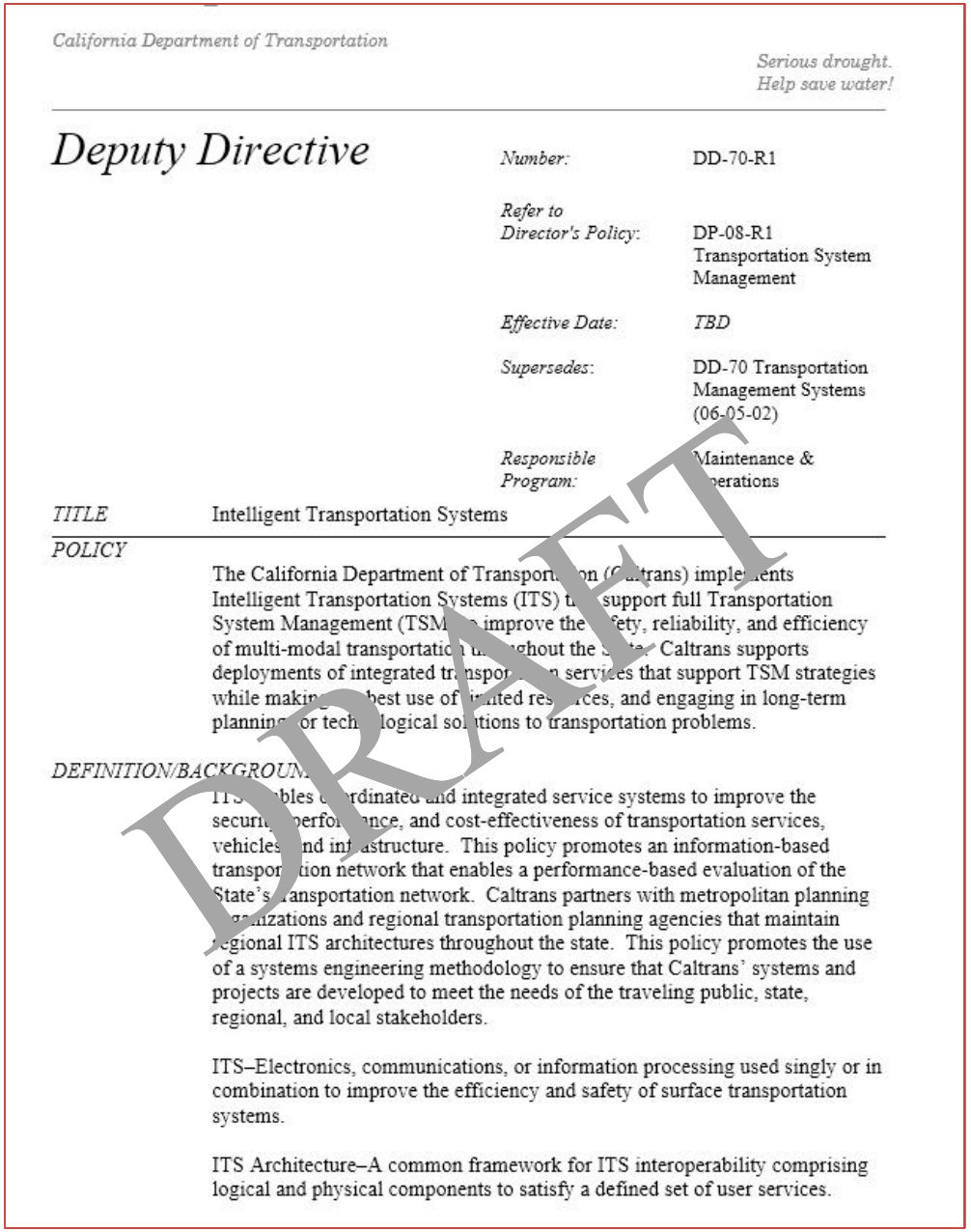
- Ramp metering systems
- Real-time traveler information
- Planning for Operations (P4Ops)
- Traffic incident and special event management (TIM)
- Traffic Management Plans (TMPs)
- Traffic signal synchronization
- Dynamic lane management
- Multimodal integration
- Scenario-based response planning

"Provide a safe, sustainable, integrated and efficient transportation system to enhance California's economy and livability"

DRAFT

TSMO Cornerstones

- Intelligent Transportation Systems: DD-70-R1



California Department of Transportation

Serious drought. Help save water!

Deputy Directive

Number:	DD-70-R1
Refer to Director's Policy:	DP-08-R1 Transportation System Management
Effective Date:	*TBD*
Supersedes:	DD-70 Transportation Management Systems (06-05-02)
Responsible Program:	Maintenance & Operations

TITLE Intelligent Transportation Systems

POLICY

The California Department of Transportation (Caltrans) implements Intelligent Transportation Systems (ITS) to support full Transportation System Management (TSM) to improve the safety, reliability, and efficiency of multi-modal transportation throughout the State. Caltrans supports deployments of integrated transportation services that support TSM strategies while making the best use of limited resources, and engaging in long-term planning for technological solutions to transportation problems.

DEFINITION/BACKGROUND

ITS enables coordinated and integrated service systems to improve the security, performance, and cost-effectiveness of transportation services, vehicles and infrastructure. This policy promotes an information-based transportation network that enables a performance-based evaluation of the State's transportation network. Caltrans partners with metropolitan planning organizations and regional transportation planning agencies that maintain regional ITS architectures throughout the state. This policy promotes the use of a systems engineering methodology to ensure that Caltrans' systems and projects are developed to meet the needs of the traveling public, state, regional, and local stakeholders.

ITS–Electronics, communications, or information processing used singly or in combination to improve the efficiency and safety of surface transportation systems.

ITS Architecture–A common framework for ITS interoperability comprising logical and physical components to satisfy a defined set of user services.

TSMO Cornerstones: Capability Maturity Model (CMM)

Excerpt from: **AASHTO TSM&O One-Minute Guidance Evaluation**
http://www.aashtotsmoguidance.org/one_minute_evaluation/

Dimension	Level 1	Level 2	Level 3	Level 4
Business Processes *(Planning, programming, budgeting, implementation)*	Processes related to TSM&O activities ad hoc and un-integrated	Multiyear statewide TSM&O plan and program exists with deficiencies, evaluation, and strategies	Programming, Budgeting, and project development processes for TSM&O standardized and documented	**Processes streamlined and subject to continuous improvement**
Systems & Technology *(Systems engineering, standards and technology interoperability)*	Ad hoc approaches outside systematic systems engineering	Systems engineering employed and consistently used for ConOps, architecture and systems development	Systems and technology standardized, documented and trained statewide, and new technology incorporated	**Systems and technology routinely upgraded and utilized to improve efficiency performance**
Performance Measurement *(Measures, data & analytics and utilization)*	No regular performance measurement related to TSM&O	TSM&O strategies measurement largely via outputs, with limited after-action analyses	Outcome measures identified and consistently used for TSM&O strategies improvement	**Mission-related outputs/ outcomes data routinely utilized for management, reported internally and externally, and archived**
Culture *(Technical understanding, leadership, outreach, and program authority)*	Value of TSM&O not widely understood beyond champions	Agency-wide appreciation of the value and role of TSM&O	TSM&O accepted as a formal core program	**Explicit agency commitment to TSM&O as key strategy to achieve full range of mobility, safety and livability/ sustainability objectives**
Organization/Workforce *(Organizational structure and workforce capability development)*	Fragmented roles based on legacy organization and available skills	Relationship among roles and units rationalized and core staff capacities identified	Top level management position and core staff for TSM&O established in central office and districts	**Professionalization and certification of operations core capacity positions including performance incentives**
Collaboration *(Partnerships among levels of government and with public safety agencies and private sector)*	Relationships on informal, infrequent and personal basis	Regular collaboration at regional level	Collaborative interagency adjustment of roles/responsibilities by formal interagency agreements	**High level of operations coordination institutionalized among key players –public and private**

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Achieving TSMO Goals

Partnerships / Data / Training / Communication / Integration

Institutional Integration

Coordination to collaboration between various agencies and jurisdictions that transcends institutional boundaries.

Operational Integration

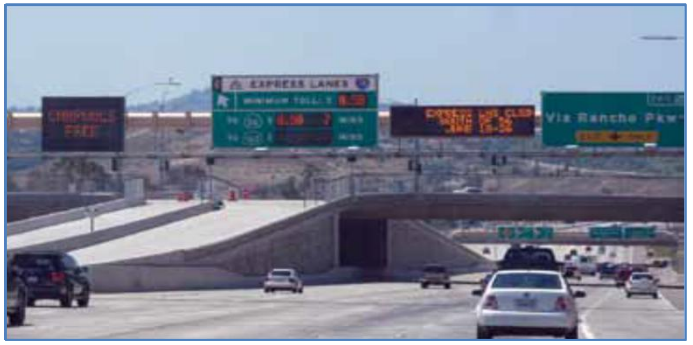
Multi-agency and cross-network operational strategies to manage the total capacity and demand of the corridor.

Technical Integration

Sharing and distribution of information, and system operations and control functions to support the immediate analysis and response.

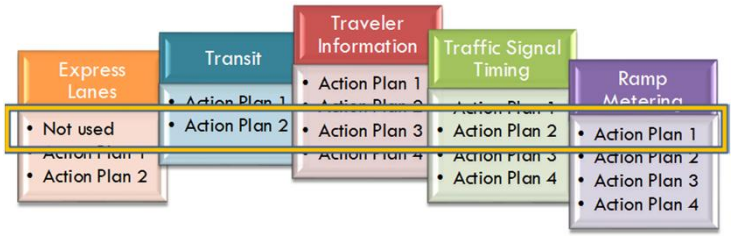
All Partners:

- Enhanced traffic monitoring systems
- Enhanced communication
- Freeway operations
- Arterial operations
- Enhanced traveler information
- Decision support system
- Enhanced data/information sharing



Local:

Prop 1B CMIA/Traffic Light Synchronization Program (TLSP)
MTC – Freeway Performance Initiative



Transportation System Management & Operations

Integrated Corridor Management

While the ICM term is well known, various existing management efforts already support the ICM concept, particularly ITS applications. The key to ICM is integrating existing ITS and management efforts with new concepts and relationships to develop a coherent multi-modal, multi-jurisdiction, corridor-wide transportation management system.

California Connected Corridors Program

A Statewide Program for the most highly congested un-reliable corridors in CA to lead Integrated Corridor Management in partnership with others – can be replicated elsewhere

What is different? Connected Corridors is the only State DOT led effort in the U.S.

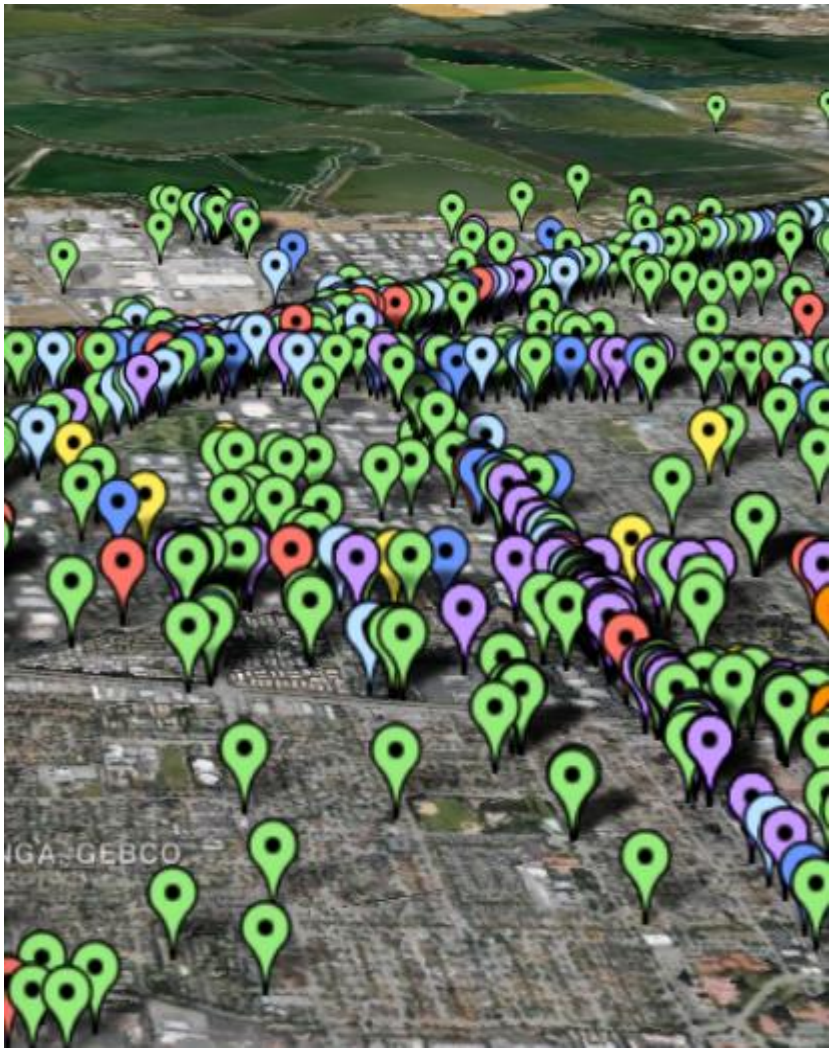
Website: www.connected-corridors.berkeley.edu

Shaping Our Future

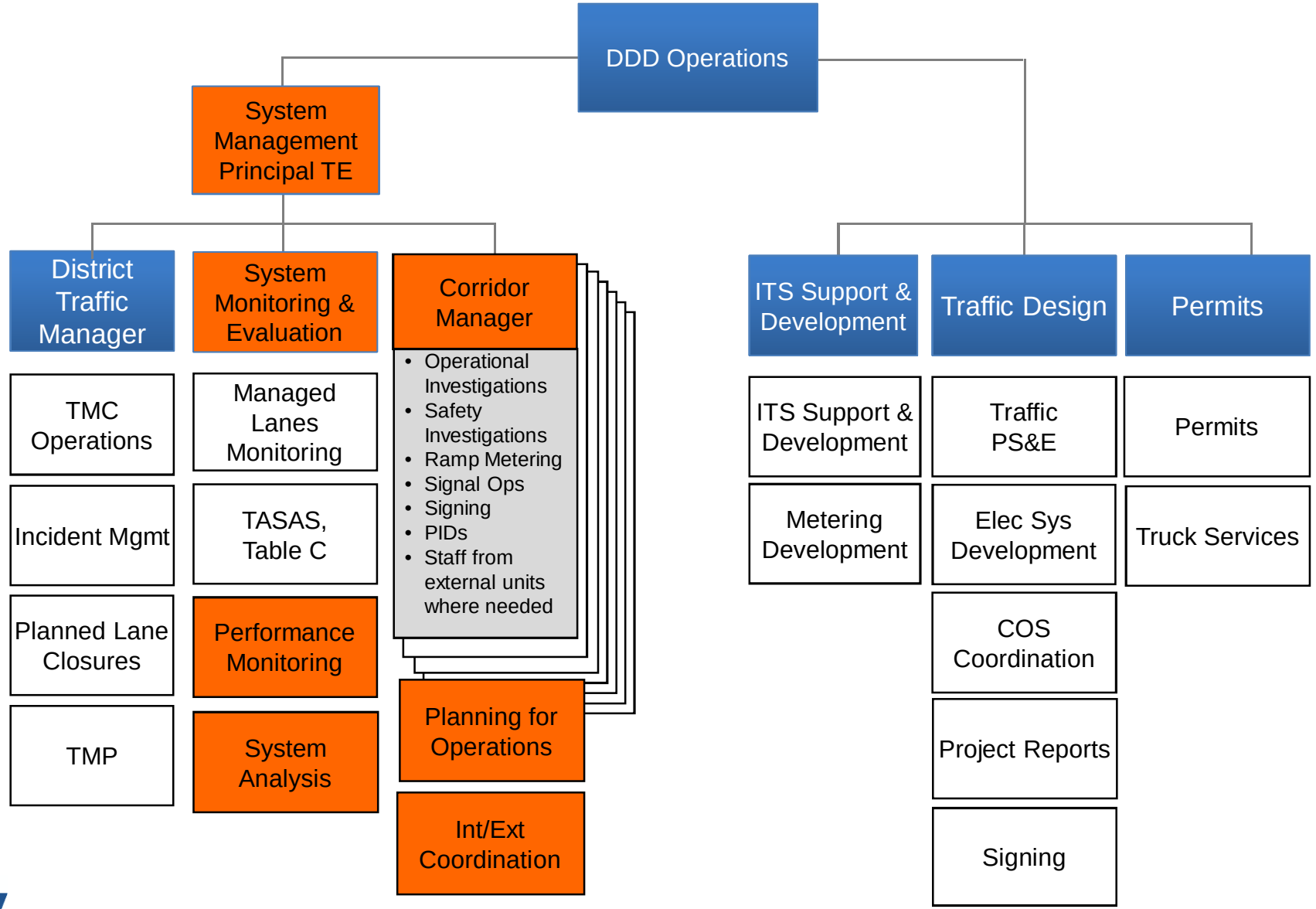
- *CA Connected Corridors Program*
  - *I-210 Pilot Corridor*
  - *Corridor Performance Reports Templates*
  - *Technical Assistance and Training*
  - *District 7 Operations (and Planning) Reorganization*

CA Connected Corridors Program

- Enable existing transportation infrastructure and vehicles to work together in a highly coordinated manner
- Deliver improved corridor performance (safety, reliability, mobility)
- Improve accountability
- Evolve Caltrans to real-time operations and management
- Enhance regional, local and private sector partnerships

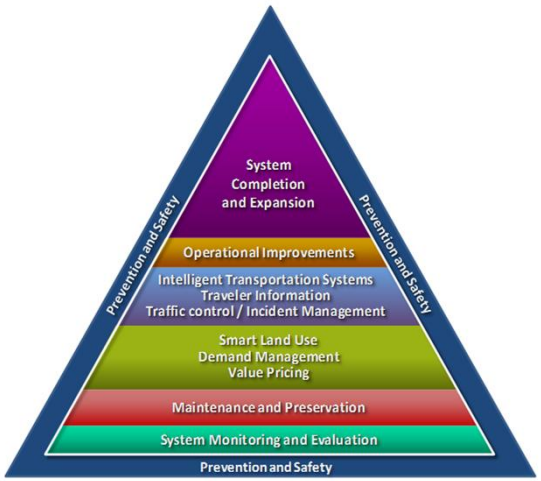


District 7 Traffic Operations Reorganization: Long-Term



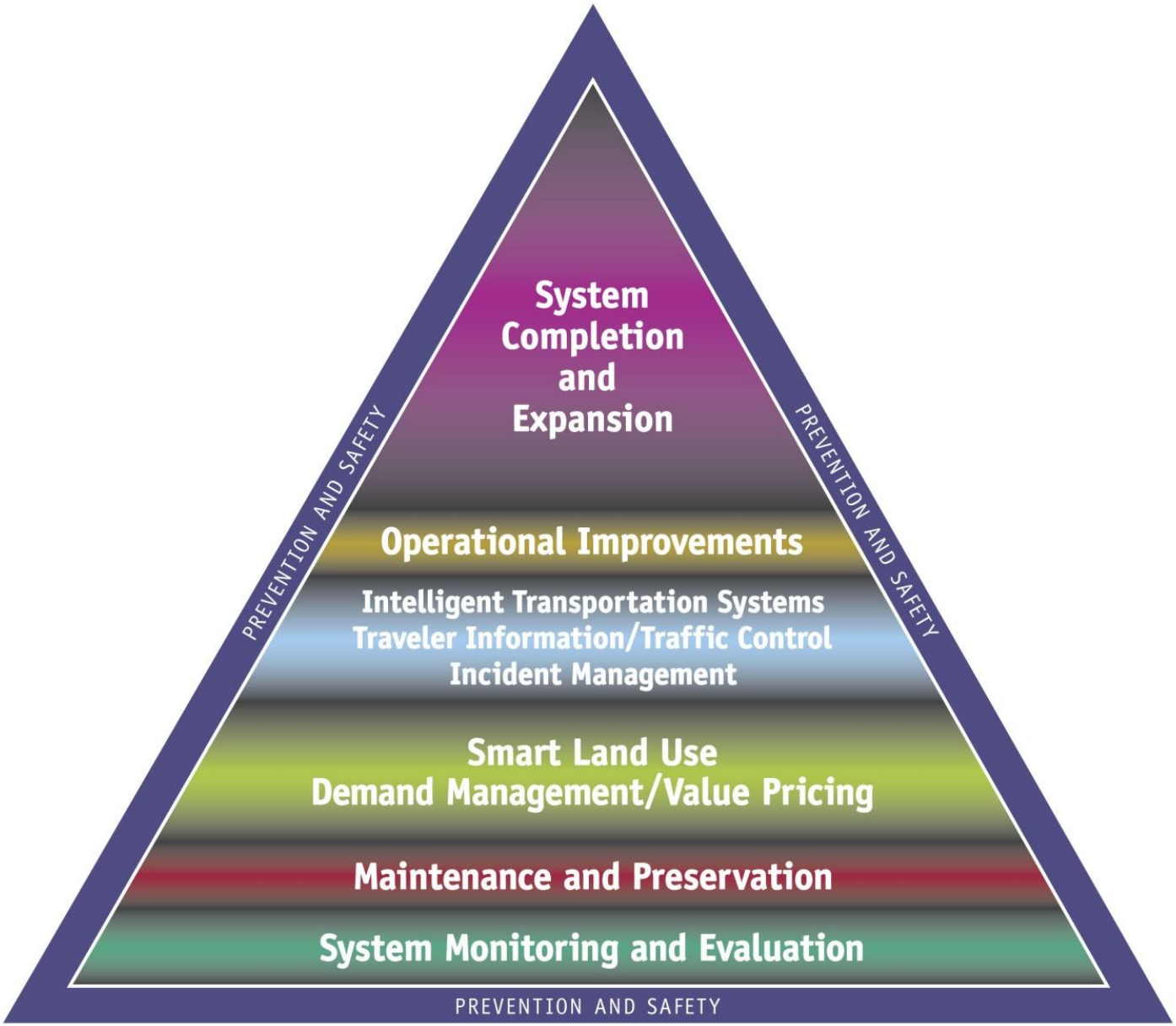
Ongoing CA TSMO Related Efforts

- Tailored Regional Operations Forums/ Capability Maturity Model (CMM) Self Assessments
  - New three-Day Regional Operations Forum (ROF)/ CMM
    - 3 remaining districts
      - ✓ D5 - San Luis Obispo
      - ✓ D1 – Eureka
      - ✓ D2- Redding
- Asset Management
- Caltrans-sponsored research



Transportation System Management & Operations – Statewide Perspective

Questions…



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